

COPY OF PAPERS  
ORIGINALLY FILED

## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/047,150	01/14/2002	Armin Schoppach	(Z)99038 P US

 M. Robert Kestenbaum  
 11011 Bermuda Dunes NE  
 Albuquerque, NM 87111


CONFIRMATION NO. 4347

## FORMALITIES LETTER

\*OC000000007461601\*

Date Mailed: 02/12/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/01/2002 BABRAHA1 00000045 10047150

01 FC:105

130.00 OP

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)

MY 18  
Docket No. HCF  
(Z)-99038 P US

In Re Application Of: Schöppach et al

COPY OF PAPERS  
ORIGINALLY FILED

Serial No.  
10/047,150

Filing Date  
January 14, 2002

Examiner

Group Art Unit  
2873

Invention: Optical System

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
February 12, 2002  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Assignment for Recording**

07/01/2002 BABRAHA1 00000046 10047150

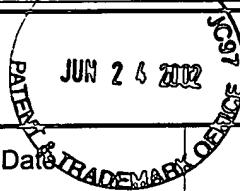
01 FC:116

400.00 DP

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1563) (Large Entity)

Docket No.  
(Z) 99038 P US

In Re Application Of: Schöppach et al



COPY OF PAPERS  
ORIGINALLY FILED

Serial No.  
10/047,150

Filing Date  
January 14, 2002

Examiner

Group Art Unit  
2873

Invention: Optical System

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☒ RECORDATION FEE \$40.00

Total completion of application fees \$170.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☒ Two months ☐ Three months ☐ Four months ☐ Five months

from: April 12, 2002 until: June 12, 2002  
Date Date

Total time extension fees \$400.00

Total fees due \$570.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
(Z) 99038 P US

In Re Application Of: Schöppach et al

JUN 24 2002

**COPY OF PAPERS  
ORIGINALLY FILED**

Serial No.  
10/047,150

Filing Date  
January 14, 2002

Examiner

Group Art Unit  
2873

Invention: Optical System

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$570.00 is to be paid as follows:

- ☒ ~~PTO-2023~~ in the amount of the fee is enclosed. *additional*
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 11-0665  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 11-0665  
A duplicate copy of this sheet is enclosed.

*M. Robert Kestenbaum*

Signature

Dated: June 12, 2002

M. R bert Kestenbaum  
Reg. No. 20,430  
11011 Bermuda Dunes NE  
Albuquerque, NM USA 87111  
Phone (505) 323-0771  
Fax (505) 323-0865

I certify that this document and fee is being deposited on June 12, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*M. Robert Kestenbaum*  
Signature of Person Mailing Correspondence

M. Robert Kestenbaum

Typed or Printed Name of Person Mailing Correspondence

CC: